Receipt date: 12/28/2009

10579037 - GAU: 3744 PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMME
Under the Reperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	2 or 3 drinks to be	m 144	49/PTO	Complete if Known		
	INFORMATION	DISC	LOSURE	Application Number	10/579,037	
	STATEMENT BY	Y APF	PLICANT	Filing Date	11/10/2004	
	Data Submittadi Da		20 2000	First Named Inventor	Daniel HENDRIX	
ı	Date Submitted: De	cemu	ei 26, 2009	Art Unit	3744	
(use as many sheets as necessary)				Examiner Name	Teresa J. Walberg	
Sheet	1	of	2	Attorney Docket Number	016906-0510	

U.S. PATENT DOCUMENTS								
Examin	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
er	No.1	Number-Kind Code <sup>2</sup> (if			Passages or Relevant			
Initials*		known)			Figures Appear			
	A1	4,230,179	10-28-1980	UEHARA et al.				
	A2	5,174,370	12-29-1992	HALLGREN				
	A3	5,230,966	07-27-1993	VOSS et al.				
	A4	6,293,337 B1	09-25-2001	STRAHLE et al.				
	A5	6,681,846 B2	01-27-2007	ANGERMANN et al.				
	A6	2003/0098146 A1	05-29-2003	ANGERMANN et al.				
	A7	2007/0084592 A1	04-19-2007	HENDRIX et al.				

	UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

			FOREIGN PATENT	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A8	JP 56-000993 A	01-08-1981	HISAKA WORKS LTD.		Abst.
	A9	JP 64-090971 A	04-10-1989	MATSUSHITA REFRIG CO., LTD.		Abst.
	A10	JP 2001-133172 A	05-18-2001	HITACHI, LTD.		Abst.
	A11	JP 01-307595 A	12-12-1989	MATSUSHITA REFRIGERATION		Abst.
	A12	EP 1 308 685 A2	05-07-2003	BEHR GMBH & CO.		Abst.
	A13	WO 97/23759 A1	07-03-1997	ALFA LAVAL AB		
	A14	WO 01/67021 A1	09-13-2001	ALFA LAVAL AB		
	A15	WO 03/010482 A1	02-06-2003	ALFA LAVAL CORPORATE AB		
	A16	WO 2005/012819 A1	02-10-2005	BEHR GMBH & CO. KG		
	A17	DE 198 33 338 A1	01-27-2000	MODINE MFG CO.		Abst.
	A18	EP 1 281 921 A2	02-05-2003	INGERSOLL-RAND ENERGY SYSTEMS CORP.		

	<del></del>	· · · · · · · · · · · · · · · · · · ·	
Examiner Signature	/Teresa Walberg/	Date Considered	02/17/2010

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, USPIO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt date: 12/28/2009

10579037 - GAU: 3744 PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for for	rm 144	19/PTO	Co	Complete if Known		
	INFORMATION I	DISC	LOSURE	Application Number	10/579,037		
	STATEMENT BY	Y APF	PLICANT	Filing Date	11/10/2004		
F	Data Cubasittadi Da		· 20 2000	First Named Inventor	Daniel HENDRIX		
L	Date Submitted: De	cemb	er 26, 2009	Art Unit	3744		
1	(use as many shee	ts as	necessary)	Examiner Name	Teresa J. Walberg		
Sheet	2	of	2	Attorney Docket Number	016906-0510		

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>					
	A19	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039. dated 07-23-2008, 8 pages.						
	A20	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039, dated 01-22-2009, 8 pages.						
	A21	D. HENDRIX, U.S. PTO Office Action, Serial No. 10/579,039, dated 07-06-2009, 8 pages.						

Examiner Signature	/Teresa Walberg/	Date Considered	02/17/2010

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: